

NOTICE

Privacy Policy

Oakmont Advisory Group, LLC (“Oakmont,” “we,” “our”) is committed to protecting the privacy and security of our clients’ and consumers’ personal information. This Privacy Notice describes the information we collect, how we use it, with whom we share it, and how we safeguard it. As an SEC-registered investment adviser, Oakmont is subject to the privacy and safeguarding requirements of Regulation S-P under the Gramm-Leach-Bliley Act, including the amendments adopted by the Securities and Exchange Commission in May 2024.

Privacy regulations are founded upon three definitions:

1. **Consumer** — a person who has not entered into an investment advisory relationship with Oakmont but has disclosed nonpublic personal information to our firm.
2. **Client** — a person who has entered into an investment advisory relationship with Oakmont, or that individual’s designated representative.
3. **Nonpublic Personal Information (“NPI”)** — personally identifiable financial information not available from public sources about a client or consumer. NPI generally includes name, address, age, Social Security number, assets, income, net worth, account balances, account numbers, beneficiary information, and investment history



Information We Collect

We collect NPI about clients and consumers from the following sources:

- Information you provide to us on applications, agreements, questionnaires, or other forms (such as name, address, Social Security number, date of birth, employment information, financial goals, income, net worth, and investment objectives).
- Information about your transactions and accounts with us, our affiliates, or others (such as account balances, holdings, and transaction history).
- Information from custodians, broker-dealers, and other financial institutions involved in servicing your account.
- Information from consumer reporting agencies and other third-party sources, where authorized.

Information We Share

Oakmont does not sell client or consumer information, and we do not share NPI with non-affiliated third parties for their own marketing purposes or in joint-marketing arrangements. We share NPI only as permitted by Regulation S-P and other applicable law, including:

- To complete transactions or implement account changes you have authorized.
- To maintain or service your account (for example, with custodians, broker-dealers, and recordkeepers).
- With third-party service providers under contract who require the information to develop, support, and deliver services to you (for example, portfolio management software, performance reporting, financial planning tools, and compliance technology).
- With entities under common ownership or control with our firm.
- As otherwise directed or specifically requested by you.
- As required or permitted by law, regulation, subpoena, or by regulatory authorities with jurisdiction over the firm.

Because we do not share NPI in ways that would trigger opt-out rights under Regulation S-P, no opt-out election is available or required at this time. If our information-sharing practices change in a manner that would trigger opt-out rights, we will provide you with a revised notice and the opportunity to opt out before any such sharing occurs.

Former Clients and Consumers

We apply the same privacy and safeguarding practices to former clients and consumers as we do to current ones, and we retain NPI only as long as necessary to support our services, comply with applicable law, and meet our recordkeeping obligations.



How We Protect Your Information

We maintain physical, electronic, and procedural safeguards designed to protect your NPI and to comply with federal standards under Regulation S-P. Access to NPI is restricted to employees and authorized agents who require it to provide products or services to you.

Consistent with the 2024 amendments to Regulation S-P, Oakmont maintains a written information security program and an incident response program reasonably designed to detect, respond to, and recover from unauthorized access to or use of customer information. In the event of a data security incident affecting your sensitive customer information, we will provide notice to you as required by applicable law and regulation.

Service Providers

We perform due diligence on third-party service providers who receive or have access to NPI. We contractually require these providers to implement appropriate safeguards, to use NPI only for the purposes for which it was disclosed, and to notify Oakmont promptly of any unauthorized access to or use of NPI in their possession.

Changes to This Notice

We will notify you in advance of any material changes to this Privacy Notice. We deliver this Notice to you at the start of our advisory relationship and provide it to you annually as a matter of practice.

Questions or Requests

Please contact us with any questions about this Privacy Notice or our information practices:

- Calling us at 505-821-6966 or
- Contact us at:

Oakmont Advisory Group, LLC

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